

FORMALITIES LETTER



OC000000004978898

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/478,156	01/05/2000	Edward L Bayiates	M0995/7002/PJG/AR

Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210

COPY

Date Mailed: 03/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2394.
 - \$522 for 29 total claims over 20.
 - \$1872 for 24 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3214.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Wolf, Greenfield & Sacks, P.C.
PTFM Account

INVOICE NUMBER	INVOICE DATE	CLIENT	MATTER	AMOUNT
	7 / 1	M0995	7002	1,607.00
CHECK TOTALS				1,607.00
CHECK TOTALS				

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Wolf, Greenfield & Sacks, P.C.
PTFM Account
600 Atlantic Avenue
Boston, MA 02210

 **StateStreet**
State Street Bank and Trust Company
Boston, Massachusetts 02101
5-2/110

44 0000657
440000656

DATE
May 01, 2000

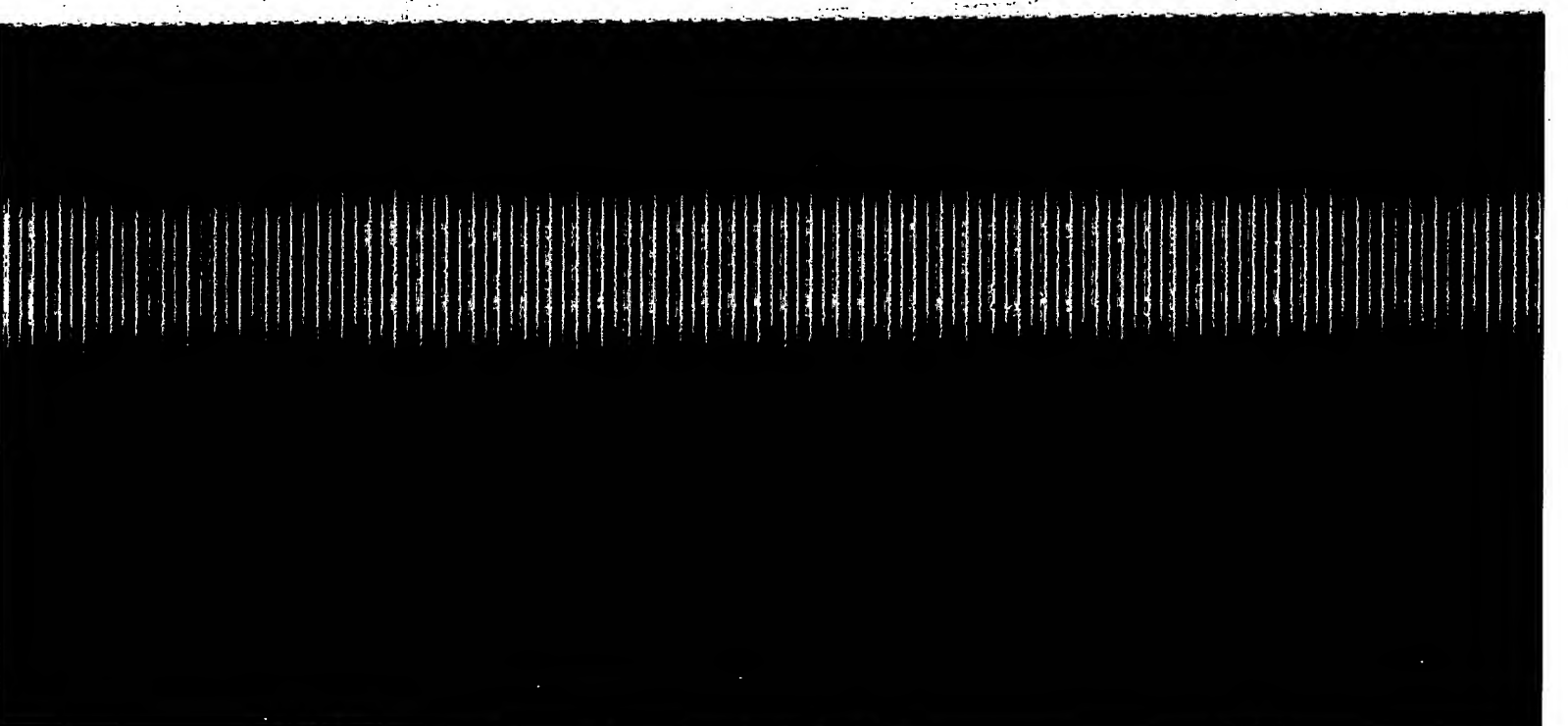
AMOUNT
\$ 1,607.00

PAY One Thousand Six Hundred Seven AND 00/100 DOLLARS
TO THE ORDER OF U.S. PATENT AND TRADEMARK OFFICE

m0995/7002

 NON-NEGOTIABLE

0000657 001000028 7427 388 9



ATTORNEY'S DOCKET NO: M0995/7002/PJG/AR/EEF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward L. Bayiates
Serial No: 09/478,156
Filed: January 5, 2000
For: Storing and Retrieving the Visual Form of Data
Examiner:
Art Unit:

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on May 1, 2000.


Peter J. Gordon

BOX MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is the response to the Notice to File Missing Parts of Application--Filing Date Granted mailed March 1, 2000.

	Number of Claims Filed	Claims Included in Basic Fee	Extra	Additional Fee
TOTAL CLAIMS	49 -	20 =	29 x \$9 =	\$ 261.00
INDEP. CLAIMS	27 -	3 =	24 x \$39 =	\$ 936.00
BASIC FILING FEE FOR UTILITY APPLICATION UNDER 37 CFR §1.16(a)=				\$ 345.00
SURCHARGE AS SET FORTH IN 37 CFR 1.16(e)				\$ 65.00
TOTAL FEE FOR FILING THIS RESPONSE =				\$ 1607.00

453196.1

DOCKETING
Cross Off
Date Entered

DOCKETED
MAY 6 2000

Transmitted herewith are the following documents:

COPY

- [☒] Notice to File Missing Parts
- [☒] Declaration for Patent Application (signed)
- [☒] Declaration Claiming Small Entity Status (signed)
- [☒] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,607.00 is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,



Peter J. Gordon, Reg. No. 35,164
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Tel. (617) 720-3500

PJG/AR/ral
Docket No. M0995/7002
Date: May 1, 2000
X May 1, 2000

COPY

Serial No. 09/478,156 File No. 0995/7002 By: PIG/AR/ka
Title: Storing & Retrieving the Visuo Form
Applicant: Bayer AG
The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:
Mailing by Express Mail (37 CFR 1.10) 5/1/00
Express Mail Label No. 3
☐ Patent Application
☐ Non-provisional ☐ Provisional
Incl. 1 pages, 1 pgs) Specification,
1 pgs) Abstract, 1 pgs) Claims 1 # claims)
☒ Declaration(s) 1
☒ Drawings 1 Sheet(s) (FIGS. 1)
☐ Formal ☐ Informal
☐ Utility Patent Application
☐ Fee calculation sheet (x2)
☒ CPA Transmittal
☒ Verified Statement claiming small entity status
☐ Assignment and Cover Sheet
☐ Other _____
☐ Provisional Application Cover Sheet
☐ Multiple Dependent Claim Fee Sheet
☐ Int. Disc. Statement, PTO Form 1449
☐ References Cited
☒ Priority Document(s) # _____
☐ Copy of Notice to File Missing Parts
☐ Amendment/Response
☐ Petition for Ext. of Time (x2)
☐ Issue Fee Transmittal
☐ Letter to Official Draftsperson
☐ Notice of Appeal
☐ Brief (x3)
☐ Check for \$ 1607 Check # 657
☐ Transmittal Letter 1
☐ Cert. of Mailing under 37 CFR 1.8(a)
O I P E X
MAY 03 2000
PATENT & TRADEMARK OFFICE
DATE MAILED 5/1/00

COPY

Attorney's Docket No. M0995/7002

Applicants: Edward L. Bayiates
Serial No.: 09/478,156
Filed: January 5, 2000
For: STORING AND RETRIEVING THE VISUAL FORM OF DATA

**DECLARATION CLAIMING SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Mantra Software, Inc.
ADDRESS OF CONCERN: 1900 West Park Drive
Westborough, Massachusetts 01581

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled STORING AND RETRIEVING THE VISUAL FORM OF DATA by inventor, Edward L. Bayiates, described in U.S. patent application serial no. 09/478,156, filed January 5, 2000.

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

☒ no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge

that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE OF PERSON IF OTHER THAN OWNER:

ADDRESS OF PERSON SIGNING:

Ajit S. Nagral

President

5 Faulkner Road

Shrewsbury, Massachusetts 01545

Chairman & Executive VP

COPY



SIGNATURE:

April 27, 2000

DATE: